Attorney Docket No. 36133 06





MP\$ #3

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Serial No.: Egan, et al.

10/038,112

Filed: D

December 31, 2001

For: Method for Treating Glaucoma I C

Group Art Unit No.: 1614

Examiner: Unknown

COPY OF PAPERS ORIGINALLY FILED

### **Box Missing Parts**

Commissioner for Patents Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with sufficient postage with the United States Postal Service as first-class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on April 26, 2002.

By: <u>Leggy Ferello</u>

# TRANSMITTAL LETTER & SMALL ENTITY STATEMENT

Transmitted herewith are the following documents in the above-identified application:

- Response to Notice of Missing Parts of Non-provisional Application and copy of Notice;
- Declaration and Power of Attorney;
- Check No. 1005086 in the amount of \$435.00;
- Acknowledgment postcard.

Applicants request that any additional fees required by these papers be charged, or any overpayment be credited, to Deposit Account No. 04-0480.

## **Small Entity Statement**

I hereby declare that the Assignee, Alteon, Inc., qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent Office under 37 CFR 1.27(c).

Respectfully submitted

Arthur E. Jackson

Registration No. \$4,354 Attorney for Applicant

**DECHERT** 

P. O. Box 5218

Princeton, NJ 08543

Attn: Arthur E. Jackson, Esq.

(609) 620-3254

Fax: (609) 620-3259

132003.1.07



Group Art Unit: 1614

Examiner: Unknown

Docket No. 361331-506

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

:

pplicant: Egan, et. al. Serial No.: 10/038,112 Filed: December 31, 2001

Method for Treating Glaucoma I C For:

**Box Missing Parts** Commissioner for Patents Washington, D.C. 20231

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Sir:

This in response to the communication from the Patent Office entitled "Notice to File Missing Parts of Non-Provisional Application", mailed February 27, 2002. A duplicate copy of the Notice to File Missing Parts is enclosed.

The notice indicates that the statutory basic filing fee was not paid and the oath or declaration is missing. The executed Declaration and Power of Attorney is enclosed herewith. Also enclosed is a check in the amount of \$435.00 which represents payment of the basic filing fee and the late filing fee of oath or declaration surcharge. Any overpayment or refund should also be credited to Deposit Account No. 04-0480.

Respectfully submitted,

Date: April 26, 2002

Arthur E. Jackson Registration No. 34,354

Attorney for Applicants

**DECHERT PRICE & RHOADS** Princeton Pike Corporate Center PO Box 5218 Princeton, NJ 08543-5218

Fax: 609.620.3259

# ORIGINALLY FILED

COPY OF PAPERS

PTO/SB/17 (11-00) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

no persons are required to r	espond to a collection of info	ormation unless it displays a valid OMB control number.	
MITTAL 001	Complete if Known		
	Application Number	10/038,112	
	Filing Date	December 31, 2001	
	First Named Inventor	Egan	
nual revision.	Examiner Name	Unknown	
	Group Art Unit	1614	
(\$) 435.00	Attorney Docket No.	361331-506	

METHOD OF PAYMENT	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES				
Deposit Deposit	Large Small				
Account Number 04-0480		Fee Pald			
Deposit Account	Code (\$) Code (\$)  105 130 205 65 Surcharge - late filing fee or oath	5.00			
Name Charge Any Additional Fee Required	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
— Circle of Circle and 1.17	139 130 139 130 Non-English specification				
Applicant claims small entity status. See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. Payment Enclosed:  Check Credit card Money Other	112 920° 112 920° Requesting publication of SIR prior to Examiner action				
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
1. BASIC FILING FEE Large Entity Small Entity	116 390 216 195 Extension for reply within second month				
Fee Fee Fee Fee Description	117 890 217 445 Extension for reply within third month				
0040 (0) 0040 (0)	118 1,390 218 695 Extension for reply within fourth month				
101 710 201 355 Utility filing fee 370.00	128 1,890 228 945 Extension for reply within fifth month				
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal				
108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal				
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing				
	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 370.00	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,240 241 620 Petition to revive - unintentional				
Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)				
Total Claims20** = X =	143 440 243 220 Design issue fee				
Independent Claims X = X	144 600 244 300 Plant issue fee				
Multiple Dependent	122 130 122 130 Petitions to the Commissioner				
Laws Falls of the San	123 130 123 130 Petitions related to provisional applications				
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)				
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))				
104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 "Reissue independent claims	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))				
over original patent	179 710 279 355 Request for Continued Examination (RCE)				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination				
SUBTOTAL (2) (\$) 0.00	of a design application Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3)					

SUBMITTED BY	Complete (ii	Complete (if applicable)		
Name (Print/Type)	Arthur E. Jackson	Registration No. (Attorney/Agent) 34,354	Telephone	609-620-3254
Signature	aut aut		Date	April 26, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/038,112

12/31/2001

John Egan

361331-506

25561
ALLEN BLOOM
C/O DECHERT
PRINCETON PIKE CORPORATION CENTER
P.O. BOX 5218
PRINCETON, NJ 08543-5218

CONFIRMATION NO. 5381
FORMALITIES LETTER
\*OC000000007545064\*

Date Mailed: 02/27/2002

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/07/2002 SDENBODI 00000080 10038112

01 FC:201 02 FC:205 370.00 OP 65.00 OP